

UNIVERSAL ASSOCIATIVE ENVELOPES OF ($n+1$)-DIMENSIONAL n -LIE ALGEBRAS

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ABSTRACT. For n even, we prove Pozhidaev's conjecture on the existence of associative enveloping algebras for simple n -Lie algebras. More generally, for n even and any $(n+1)$ -dimensional n -Lie algebra L , we construct a universal associative enveloping algebra $U(L)$ and show that the natural map $L \rightarrow U(L)$ is injective. We use noncommutative Gröbner bases to present $U(L)$ as a quotient of the free associative algebra on a basis of L and to obtain a monomial basis of $U(L)$. In the last section, we provide computational evidence that the construction of $U(L)$ is much more difficult for n odd.

1. INTRODUCTION

Filippov [7] in 1985 introduced n -Lie algebras and classified the $(n+1)$ -dimensional n -Lie algebras over an algebraically closed field of characteristic 0.

Definition 1.1. [7] An n -Lie algebra is a vector space L over a field F of characteristic $\neq 2$ with a multilinear operation $[x_1, x_2, \dots, x_n]$ satisfying the *alternating* (or *anticommutative*) *identity* and the *generalized Jacobi* (or *derivation*) *identity*:

$$[x_1, x_2, \dots, x_n] = \epsilon(\sigma)[x_{\sigma(1)}, x_{\sigma(2)}, \dots, x_{\sigma(n)}] \quad (\sigma \in S_n),$$

$$[[x_1, \dots, x_n], y_2, \dots, y_n] = \sum_{i=1}^n [x_1, \dots, [x_i, y_2, \dots, y_n], \dots, x_n].$$

For $n = 2$ we obtain the definition of a Lie algebra, but for $n \geq 3$ the structure of n -Lie algebras is quite different. In particular, Ling [11] showed that for each $n \geq 3$ there exists up to isomorphism a unique simple finite-dimensional n -Lie algebra over an algebraically closed field of characteristic 0.

Definition 1.2. [7] Let $n \geq 3$ and let F be a field of characteristic $\neq 2$. Let L_{n+1} be the $(n+1)$ -dimensional n -Lie algebra over F with basis e_1, \dots, e_{n+1} such that

$$[e_1, \dots, \widehat{e}_i, \dots, e_{n+1}] = (-1)^{n+i+1} e_i, \quad (1 \leq i \leq n+1);$$

\widehat{e}_i means that e_i is omitted. Filippov [7, Theorem 4] shows that L_{n+1} is simple.

Alternating n -ary structures have attracted attention in theoretical physics during the last few decades. In particular, the important recent work by Bagger and Lambert [1] and Gustavsson [8] attempts to describe an effective action for the low energy dynamics of coincident M2-branes. For a very recent comprehensive survey on the physical applications of n -ary algebras, see de Azcárraga and Izquierdo [5].

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The Poincaré-Birkhoff-Witt (PBW) theorem is an important tool in the representation theory of Lie algebras. It provides a basis for the universal associative enveloping algebra of any Lie algebra over any field, and allows us to make calculations in these noncommutative algebras. Bergman [3] in 1978 gave a new proof of the PBW theorem using noncommutative Gröbner bases in the free associative algebra. This theory was used recently by Casas et al. [4] and Insua and Ladra [10] to construct universal enveloping algebras of Leibniz and n -Leibniz algebras. Pozhidaev [12] in 2003 showed that for $n \leq 5$ the simple finite-dimensional n -Lie algebra over an algebraically closed field of characteristic 0 can be embedded in an associative algebra, and made the conjecture that such associative enveloping algebras exist for all n .

The aim of the present paper is to use noncommutative Gröbner bases to study the universal associative enveloping algebras of n -Lie algebras and to establish a generalization of the PBW theorem for $(n+1)$ -dimensional n -Lie algebras when n is even. In Section 2 we recall basic facts about n -Lie algebras and noncommutative Gröbner bases. In Section 3 we prove a theorem on the normal form of a composition of ideal generators for universal associative enveloping algebras of $(n+1)$ -dimensional alternating n -ary algebras. For n even, this allows us to construct a basis for $U(L)$ where L is any $(n+1)$ -dimensional n -Lie algebra. In Section 4 we establish Pozhidaev's conjecture for the simple n -Lie algebra when n is even. In Section 5 we establish analogous results for the non-simple $(n+1)$ -dimensional n -Lie algebras. Finally, in Section 6 we describe some calculations with the computer algebra system Maple which suggest that extending these results to n odd may be difficult.

Unless otherwise stated, we assume throughout that all vector spaces are over an algebraically closed field F of characteristic 0.

2. PRELIMINARIES

We first recall Filippov's classification of $(n+1)$ -dimensional n -Lie algebras. If L is an n -Lie algebra then L^1 is its derived algebra and $Z(L)$ is its center.

Theorem 2.1. [7] *Let $n \geq 3$ and let L be an $(n+1)$ -dimensional n -Lie algebra with basis e_1, e_2, \dots, e_{n+1} over F . Up to isomorphism, exactly one of the following cases holds; omitted brackets are assumed to be zero:*

- (0) *If $\dim L^1 = 0$ then L is the Abelian n -Lie algebra.*
- (1) *If $\dim L^1 = 1$ then we write $L^1 = Fe_1$ and we have two cases:*
 - (a) *If $L^1 \subseteq Z(L)$ then $[e_2, \dots, e_{n+1}] = e_1$.*
 - (b) *If $L^1 \not\subseteq Z(L)$ then $[e_1, \dots, e_n] = e_1$.*
- (2) *If $\dim L^1 = 2$ then we write $L^1 = Fe_1 \oplus Fe_2$ and we have two cases:*
 - (a) *$[e_2, \dots, e_{n+1}] = e_1$ and $[e_1, e_3, \dots, e_{n+1}] = e_2$.*
 - (b) *$[e_2, \dots, e_{n+1}] = e_1 + \beta e_2$ for $\beta \in F \setminus \{0\}$ and $[e_1, e_3, \dots, e_{n+1}] = e_2$.*
- (r) *If $\dim L^1 = r$ for $3 \leq r \leq n+1$ then we write $L^1 = Fe_1 \oplus \dots \oplus Fe_r$ and we have $[e_1, \dots, \hat{e}_i, \dots, e_{n+1}] = e_i$ for $1 \leq i \leq r$.*

Proof. This is Filippov's classification [7, Section 3] of $(n+1)$ -dimensional n -Lie algebras in the simplified version of Bai and Song [2, Theorem 3.1]. \square

We next recall the basic definitions and results in the theory of noncommutative Gröbner bases in free associative algebras following de Graaf [6, Chapter 6] which is based on the work of Bergman [3].

Definition 2.2. Let $X = \{x_1, \dots, x_{n+1}\}$ be a set of symbols with the total order $x_i < x_j$ if and only if $i < j$. The *free monoid* generated by X is the set X^* of all (possibly empty) words $w = x_{i_1} \cdots x_{i_k}$ ($k \geq 0$) with the (associative) operation of concatenation. For $w = x_{i_1} \cdots x_{i_k} \in X^*$ the *degree* is $\deg(w) = k$. The *free unital associative algebra* generated by X is the vector space $F\langle X \rangle$ with basis X^* and multiplication extended bilinearly from concatenation in X^* .

Definition 2.3. Throughout this paper we use the *degree-lexicographical (deglex)* order $<$ on X^* defined as follows: $u < v$ if and only if either (i) $\deg(u) < \deg(v)$ or (ii) $\deg(u) = \deg(v)$ and $u = wx_iu'$, $v = wx_jv'$ where $x_i < x_j$ ($w, u', v' \in X^*$). We say that $u \in X^*$ is a *factor* of $v \in X^*$ if there exist $w_1, w_2 \in X^*$ such that $w_1uw_2 = v$. If w_1 (resp. w_2) is empty then u is a *left* (resp. *right*) factor of v .

Definition 2.4. The *support* of a noncommutative polynomial $f \in F\langle X \rangle$ is the set of all monomials $w \in X^*$ that occur in f with nonzero coefficient. The *leading monomial* of $f \in F\langle X \rangle$, denoted $\text{LM}(f)$, is the highest element of the support of f with respect to deglex order. If I is any ideal of $F\langle X \rangle$ then the set of *normal words* modulo I is defined by $N(I) = \{u \in X^* \mid u \neq \text{LM}(f) \text{ for any } f \in I\}$. We write $C(I)$ for the subspace of $F\langle X \rangle$ spanned by $N(I)$.

Proposition 2.5. *If $I \subseteq F\langle X \rangle$ is an ideal then $F\langle X \rangle = C(I) \oplus I$.*

Proof. de Graaf [6, Proposition 6.1.1]. □

Definition 2.6. Let $G \subseteq F\langle X \rangle$ be a subset generating an ideal $I \subseteq F\langle X \rangle$. A noncommutative polynomial $f \in F\langle X \rangle$ is in *normal form modulo G* if no monomial occurring in f has a factor of the form $\text{LM}(g)$ for any $g \in G$. For an algorithm which calculates the normal form, see [6, §6.1].

Definition 2.7. If $I \subseteq F\langle X \rangle$ is an ideal then a subset $G \subseteq I$ is a *Gröbner basis* of I if for all $f \in I$ there is a $g \in G$ such that $\text{LM}(g)$ is a factor of $\text{LM}(f)$.

Definition 2.8. A subset $G \subseteq F\langle X \rangle$ is *self-reduced* if every $g \in G$ is in normal form modulo $G \setminus \{g\}$ and every $g \in G$ is *monic*: the coefficient of $\text{LM}(g)$ is 1. (This definition is stronger than [6, Definition 6.1.5].)

Definition 2.9. Let $g, h \in F\langle X \rangle$ be two monic noncommutative polynomials. Assume that $\text{LM}(g)$ is not a factor of $\text{LM}(h)$ and that $\text{LM}(h)$ is not a factor of $\text{LM}(g)$. Let $u, v \in X^*$ be such that

- (i) $\text{LM}(g)u = v\text{LM}(h)$,
- (ii) u is a proper right factor of $\text{LM}(h)$,
- (iii) v is a proper left factor of $\text{LM}(g)$.

In this case the element $gu - vh \in F\langle X \rangle$ is called a *composition* of g and h .

Theorem 2.10. *If $I \subseteq F\langle X \rangle$ is an ideal generated by a self-reduced set G , then G is a Gröbner basis of I if and only if for all compositions f of the elements of G the normal form of f modulo G is zero.*

Proof. de Graaf [6, Theorem 6.1.6, Corollary 6.1.8]. □

3. UNIVERSAL ASSOCIATIVE ENVELOPES OF ALTERNATING n -ARY ALGEBRAS

Let L be an $(n+1)$ -dimensional n -ary algebra with an alternating product which does not necessarily satisfy the generalized Jacobi identity. We are primarily interested in n -Lie algebras but in this section we consider a more general situation.

Notation 3.1. Let $B = \{e_1, e_2, \dots, e_{n+1}\}$ be an ordered basis of L . Consider the bijection $\phi: B \rightarrow X = \{x_1, x_2, \dots, x_{n+1}\}$ defined by $\phi(e_i) = x_i$. We extend ϕ to a linear map $\phi: L \rightarrow F\langle X \rangle$ and write $y_i = \phi([e_1, \dots, \widehat{e}_i, \dots, e_{n+1}])$.

Definition 3.2. Let A be an associative algebra. On the underlying vector space of A we define a new operation, the n -ary *alternating sum*:

$$\text{alt}(x_1, x_2, \dots, x_n) = \sum_{\sigma \in S_n} \epsilon(\sigma) x_{\sigma(1)} x_{\sigma(2)} \cdots x_{\sigma(n)}.$$

We write A^- for the *minus algebra*: the alternating n -ary algebra obtained by replacing the associative product by the alternating sum.

Definition 3.3. A *universal associative envelope* of the alternating n -ary algebra L consists of a unital associative algebra U and a linear map $i: L \rightarrow U$ satisfying

$$i([x_1, x_2, \dots, x_n]) = \text{alt}(i(x_1), i(x_2), \dots, i(x_n)) \quad (x_1, \dots, x_n \in L),$$

such that for any unital associative algebra A and linear map $j: L \rightarrow A$ satisfying the same equation with j in place of i , there is a unique homomorphism of unital associative algebras $\psi: U \rightarrow A$ such that $\psi \circ i = j$.

Definition 3.4. Consider the following elements of $F\langle X \rangle$ for $1 \leq i \leq n+1$:

$$G_i = (-1)^{\lfloor n/2 \rfloor} (\text{alt}(x_1, \dots, \widehat{x}_i, \dots, x_{n+1}) - y_i).$$

The factor $(-1)^{\lfloor n/2 \rfloor}$ ensures that $\text{LM}(G_i) = x_{n+1} \cdots \widehat{x}_i \cdots x_1$ has coefficient 1.

Notation 3.5. Let $I \subseteq F\langle X \rangle$ be the ideal generated by G_1, \dots, G_{n+1} . We write $U = F\langle X \rangle / I$ with surjection $\pi: F\langle X \rangle \rightarrow U$ sending f to $f + I$, and $i = \pi \circ \phi$ for the natural map $i: L \rightarrow U$.

Lemma 3.6. *The unital associative algebra U and the linear map i form the universal associative envelope of the alternating n -ary algebra L .*

Proof. Similar to the case $n = 2$; see Humphreys [9, §17.2]. \square

Lemma 3.7. *There is only one overlap among $\text{LM}(G_1), \dots, \text{LM}(G_{n+1})$, namely $\text{LM}(G_1) = x_{n+1} \cdots x_2$ and $\text{LM}(G_{n+1}) = x_n \cdots x_1$ have the common factor $x_n \cdots x_2$. Hence there is only one composition among the generators: $G_1 x_1 - x_{n+1} G_{n+1}$.*

Proof. The subscripts in $\text{LM}(G_i)$ are the sequence $n+1 > \cdots > \widehat{i} > \cdots > 1$. \square

Theorem 3.8. *The normal form of the composition $G_1 x_1 - x_{n+1} G_{n+1}$ is*

$$N = (-1)^n \sum_{i=1}^{n+1} (-1)^i (x_i G_i - (-1)^n G_i x_i) = (-1)^{n+1} \sum_{i=1}^{n+1} (-1)^i (x_i y_i - (-1)^n y_i x_i).$$

Proof. We first observe that N can be rewritten as follows:

$$(-1)^n \sum_{i=1}^{n+1} (-1)^i (x_i G_i - (-1)^n G_i x_i) = G_1 x_1 - x_{n+1} G_{n+1} + S + T,$$

where

$$S = (-1)^n \sum_{i=2}^n (-1)^i (x_i G_i - (-1)^n G_i x_i), \quad T = -(-1)^n x_1 G_1 + (-1)^n G_{n+1} x_{n+1}.$$

To compute the normal form of $G_1 x_1 - x_{n+1} G_{n+1}$ using noncommutative division with remainder, we perform two steps. First, we eliminate occurrences of

$\text{LM}(G_1), \dots, \text{LM}(G_{n+1})$ as factors in the monomials; this corresponds to the sum S , and introduces new occurrences of $\text{LM}(G_1)$ and $\text{LM}(G_{n+1})$. Second, we eliminate these last two occurrences; this corresponds to the sum T . This shows that N can be obtained from $G_1x_1 - x_{n+1}G_{n+1}$ by a sequence of reductions modulo the generators G_1, \dots, G_{n+1} of the ideal I . Thus, in order to prove that N is the normal form of the composition, it remains to show that no monomial occurring in N has a factor equal to $\text{LM}(G_i)$ for any $i = 1, \dots, n+1$.

For the following calculations, it is convenient to write

$$G_i = (-1)^{\lfloor n/2 \rfloor} \left(\sum_{\sigma \in S_n^{(i)}} \epsilon(\sigma) x_{\sigma(1)} \cdots \widehat{x}_{\sigma(i)} \cdots x_{\sigma(n+1)} - y_i \right),$$

where $S_n^{(i)} \cong S_n$ is the symmetric group on $\{1, \dots, \widehat{i}, \dots, n+1\}$. To simplify the signs, we factor out $(-1)^n (-1)^{\lfloor n/2 \rfloor}$ from the entire calculation. Thus we consider the following simplified versions of N and the ideal generators G_i :

$$N = \sum_{i=1}^{n+1} (-1)^i (x_i G_i - (-1)^n G_i x_i), \quad G_i = \sum_{\sigma \in S_n^{(i)}} \epsilon(\sigma) x_{\sigma(1)} \cdots \widehat{x}_{\sigma(i)} \cdots x_{\sigma(n+1)} - y_i.$$

We rewrite $x_i G_i$ and $G_i x_i$ as follows:

$$(1) \quad x_i G_i = \sum_{\substack{\tau \in S_{n+1} \\ \tau(1) = i}} (-1)^{i-1} \epsilon(\tau) x_i x_{\tau(2)} \cdots x_{\tau(n+1)} - x_i y_i,$$

$$(2) \quad G_i x_i = \sum_{\substack{\tau \in S_{n+1} \\ \tau(n+1) = i}} (-1)^{n+1-i} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n)} x_i - y_i x_i.$$

In $x_i G_i$, the symbol x_i has moved left past $i-1$ symbols, so $\epsilon(\sigma) = (-1)^{i-1} \epsilon(\tau)$. In $G_i x_i$, the symbol x_i has moved right past $n+1-i$ symbols, so $\epsilon(\sigma) = (-1)^{n+1-i} \epsilon(\tau)$. Therefore

$$\begin{aligned} x_i G_i - (-1)^n G_i x_i &= -(x_i y_i - (-1)^n y_i x_i) \\ &+ \sum_{\substack{\tau \in S_{n+1} \\ \tau(1) = i}} (-1)^{i-1} \epsilon(\tau) x_i x_{\tau(2)} \cdots x_{\tau(n+1)} - \sum_{\substack{\tau \in S_{n+1} \\ \tau(n+1) = i}} (-1)^{i-1} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n)} x_i. \end{aligned}$$

From this we obtain

$$\begin{aligned} (-1)^i (x_i G_i - (-1)^n G_i x_i) &= -(-1)^i (x_i y_i - (-1)^n y_i x_i) \\ &- \sum_{\substack{\tau \in S_{n+1} \\ \tau(1) = i}} \epsilon(\tau) x_i x_{\tau(2)} \cdots x_{\tau(n+1)} + \sum_{\substack{\tau \in S_{n+1} \\ \tau(n+1) = i}} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n)} x_i. \end{aligned}$$

Summing over $i = 1, \dots, n+1$ gives

$$\begin{aligned} \sum_{i=1}^{n+1} (-1)^i (x_i G_i - (-1)^n G_i x_i) &= Q + R, \quad Q = - \sum_{i=1}^{n+1} (-1)^i (x_i y_i - (-1)^n y_i x_i), \\ R &= - \sum_{i=1}^{n+1} \sum_{\substack{\tau \in S_{n+1} \\ \tau(1) = i}} \epsilon(\tau) x_i x_{\tau(2)} \cdots x_{\tau(n+1)} + \sum_{i=1}^{n+1} \sum_{\substack{\tau \in S_{n+1} \\ \tau(n+1) = i}} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n)} x_i. \end{aligned}$$

It remains to show that $R = 0$. In the first (respectively second) double sum, we separate terms according to the last (respectively first) symbol in each monomial:

$$\begin{aligned} R &= - \sum_{i=1}^{n+1} \sum_{\substack{j=1 \\ j \neq i}}^{n+1} \sum_{\substack{\tau \in S_{n+1} \\ \tau(1)=i \\ \tau(n+1)=j}} \epsilon(\tau) x_i x_{\tau(2)} \cdots x_{\tau(n)} x_j \\ &\quad + \sum_{i=1}^{n+1} \sum_{\substack{j=1 \\ j \neq i}}^{n+1} \sum_{\substack{\tau \in S_{n+1} \\ \tau(1)=j \\ \tau(n+1)=i}} \epsilon(\tau) x_j x_{\tau(2)} \cdots x_{\tau(n)} x_i = 0, \end{aligned}$$

since both sums are over all pairs (i, j) with $1 \leq i \neq j \leq n+1$. \square

Remark 3.9. For n even (respectively odd) the terms of N can be written as Lie brackets (respectively Jordan products):

$$x_i G_i - (-1)^n G_i x_i = [x_i, G_i] \quad (n \text{ even}), \quad x_i G_i - (-1)^n G_i x_i = x_i \circ G_i \quad (n \text{ odd}).$$

4. POZHIDAEV'S CONJECTURE FOR SIMPLE n -LIE ALGEBRAS (n EVEN)

In the rest of this paper, we assume that L is an n -Lie algebra. Pozhidaev [12] considered the problem whether there exists an embedding of an arbitrary n -Lie algebra into an associative algebra, and made the following conjecture:

Conjecture. *For any reductive finite-dimensional n -Lie algebra L over an algebraically closed field of characteristic 0 there exists an associative algebra A such that L is isomorphic to a subalgebra of A^- .*

By the work of Ling [11] it is known that any reductive finite-dimensional n -Lie algebra over an algebraically closed field of characteristic 0 decomposes into the direct sum of an Abelian ideal and several copies of a simple ideal isomorphic to the simple $(n+1)$ -dimensional n -Lie algebra L_{n+1} . Hence the main problem is to prove that L_{n+1} can be embedded into an associative algebra.

Theorem 4.1. *Let $n \geq 3$ and let F be a field of characteristic $\neq 2$. Let L be the simple n -Lie algebra L_{n+1} over F from Definition 1.2. The generators $\{G_1, \dots, G_{n+1}\}$ of Definition 3.4 form a Gröbner basis for the ideal $I = \langle G_1, \dots, G_{n+1} \rangle$ in the free associative algebra $F\langle x_1, \dots, x_{n+1} \rangle$ if and only if n is even.*

Proof. The structure constants for L_{n+1} give $y_i = (-1)^{n+i+1} x_i$ and so

$$G_i = (-1)^{\lfloor n/2 \rfloor} (\text{alt}(x_1, \dots, \widehat{x}_i, \dots, x_{n+1}) + (-1)^{n+i} x_i).$$

By Theorem 3.8 the normal form of the single composition of these generators is

$$N = \sum_{i=1}^{n+1} (1 - (-1)^n) x_i^2 = \begin{cases} 0 & \text{if } n \text{ is even,} \\ 2 \sum_{i=1}^{n+1} x_i^2 & \text{if } n \text{ is odd.} \end{cases}$$

Since $\text{char } F \neq 2$ we have $N = 0$ if and only if n is even, and by Theorem 2.10 this is equivalent to $\{G_1, \dots, G_{n+1}\}$ being a Gröbner basis. \square

Corollary 4.2. *Let $n \geq 4$ be even and let F be a field of characteristic $\neq 2$. Let L be the simple n -Lie algebra L_{n+1} over F . The universal associative enveloping algebra $U(L)$ is infinite-dimensional, and a basis consists of the monomials which do not contain any factor of the form $x_{i_1} \cdots x_{i_n}$ with $i_1 > \cdots > i_n$.*

Proof. Since $\{G_1, \dots, G_{n+1}\}$ is Gröbner basis, Proposition 2.5 shows that the normal words of $F\langle X \rangle$ modulo I , or equivalently the coset representatives for $U(L) = F\langle X \rangle/I$, are those that do not contain any $\text{LM}(G_i)$ as a factor. \square

Corollary 4.3. *Let $n \geq 4$ be even and let F be a field of characteristic $\neq 2$. For the simple n -Lie algebra L_{n+1} the natural map $i: L_{n+1} \rightarrow U(L_{n+1})$ is injective.*

Proof. The intersection of $I = \langle G_1, \dots, G_{n+1} \rangle$ with $\text{span}(x_1, \dots, x_{n+1})$ is 0, and hence the cosets of the x_i are linearly independent in $U(L_{n+1})$. \square

We now obtain a proof of Pozhidaev's conjecture [12] in the case of n even.

Corollary 4.4. *Let $n \geq 4$ be even and let F be a field of characteristic $\neq 2$. There exists an associative algebra A such that the simple n -Lie algebra L_{n+1} is isomorphic to a subalgebra of A^- .*

Proof. Take $A = U(L)$ and apply Corollary 4.3. \square

We also obtain the following new proof of Pozhidaev's Corollary 2.1 [12].

Corollary 4.5. *Let $n \geq 3$ be odd, let F be a field of characteristic $\neq 2$, and let L be the simple n -Lie algebra L_{n+1} . If A is an associative algebra and $j: L \rightarrow A^-$ is a homomorphism of alternating n -ary algebras, then $j(e_1)^2 + \dots + j(e_{n+1})^2 = 0$.*

Proof. The proof of Theorem 4.1 shows that $x_1^2 + \dots + x_{n+1}^2 = 0$ in $U(L)$, and so the claim follows from the universal property of $U(L)$. \square

For n odd, finding a Gröbner basis of $I = \langle G_1, \dots, G_{n+1} \rangle$ for the simple n -Lie algebra L_{n+1} seems to be much more difficult; see the calculations in Section 6.

5. THE NON-SIMPLE n -LIE ALGEBRAS (n EVEN)

We now consider the other $(n+1)$ -dimensional n -Lie algebras in the classification of Theorem 2.1. We divide these non-simple algebras into three cases depending on the complexity of the resulting Gröbner basis.

5.1. Case 1. This includes cases (0), (1a), (2a) and (r) of Theorem 2.1.

Theorem 5.1. *Let $n \geq 4$ be even, let F be an algebraically closed field of characteristic 0, and let L be an $(n+1)$ -dimensional n -Lie algebra from Theorem 2.1. In the following four cases, the original ideal generators $\{G_1, \dots, G_{n+1}\}$ of Definition 3.4 are a Gröbner basis for the ideal $I = \langle G_1, \dots, G_{n+1} \rangle \subseteq F\langle X \rangle$:*

- (0) $L^1 = \{0\}$: L is the Abelian n -Lie algebra.
- (1) (a) $L^1 = Fe_1$ where $[e_2, \dots, e_{n+1}] = e_1$.
- (2) (a) $L^1 = Fe_1 \oplus Fe_2$ where $[e_2, \dots, e_{n+1}] = e_1$ and $[e_1, e_3, \dots, e_{n+1}] = e_2$.
- (r) $L^1 = Fe_1 \oplus \dots \oplus Fe_r$ ($3 \leq r \leq n$) where $[e_1, \dots, \hat{e}_i, \dots, e_{n+1}] = e_i$ for $1 \leq i \leq r$.

Proof. In each case we verify that the normal form N of the unique composition of the original ideal generators is equal to 0. This is trivial in case (0). In case (1)(a), Theorem 3.8 gives $N = x_1^2 - x_1^2 = 0$. In case (2)(a), we get $N = (x_1^2 - x_1^2) - (x_2^2 - x_2^2) = 0$. In case (r), we get $N = -\sum_{i=1}^r (-1)^i (x_i^2 - x_i^2) = 0$. We note that in all these cases, either $y_i = x_i$ or $y_i = 0$ for $i = 1, \dots, n+1$. \square

5.2. **Case 2.** This is case (1b) of Theorem 2.1: $L^1 = Fe_1$ where $[e_1, \dots, e_n] = e_1$. The original ideal generators are

$$\begin{aligned} G_i &= (-1)^{\lfloor n/2 \rfloor} \text{alt}(x_1, \dots, \widehat{x}_i, \dots, x_{n+1}) \quad (1 \leq i \leq n), \\ G_{n+1} &= (-1)^{\lfloor n/2 \rfloor} (\text{alt}(x_1, \dots, x_n) - x_1). \end{aligned}$$

Lemma 5.2. *The composition $G_1x_1 - x_{n+1}G_{n+1}$ has normal form*

$$N = x_{n+1}x_1 - x_1x_{n+1}.$$

Proof. This follows directly from Theorem 3.8. \square

We must include N as a new generator and modify the original generators by replacing them by their normal forms modulo N .

Notation 5.3. For $i = 2, \dots, n$ we write $T_n^{(i)}$ for the set of all permutations of $\{1, \dots, \widehat{i}, \dots, n+1\}$ in which 1 and $n+1$ do not appear consecutively. We consider the following corresponding elements of $F\langle X \rangle$:

$$H_i = (-1)^{\lfloor n/2 \rfloor} \sum_{\sigma \in T_n^{(i)}} \epsilon(\sigma) x_{\sigma(1)} x_{\sigma(2)} \cdots x_{\sigma(n)} \quad (2 \leq i \leq n).$$

Theorem 5.4. *Let $n \geq 4$ be even and let F be any field. Let L be the $(n+1)$ -dimensional n -Lie algebra with structure constants $[e_1, \dots, e_n] = e_1$. A Gröbner basis for the ideal $I = \langle G_1, \dots, G_{n+1} \rangle \subseteq F\langle X \rangle$ consists of the elements*

$$\{G_1, H_2, \dots, H_n, G_{n+1}, N\}.$$

Proof. We have $\text{LM}(N) = x_{n+1}x_1$ and obviously this never occurs as a factor of any monomial in G_1 or G_{n+1} . If $x_{n+1}x_1$ is a factor of a term $\epsilon w = \pm ux_{n+1}x_1v$ occurring in G_i for some $i = 2, \dots, n$, then we reduce w using N . This simply means that we replace ϵw by $\epsilon w' = \pm ux_1x_{n+1}v$. But since G_i is an alternating sum, the term $-\epsilon w'$ appears in G_i , and the terms $\epsilon w'$ and $-\epsilon w'$ cancel. The remaining terms in G_i correspond to the permutations in $T_n^{(i)}$ and so we obtain the new generators H_2, \dots, H_n . No further reductions are possible in the set of generators: the set $\{G_1, H_2, \dots, H_n, G_{n+1}, N\}$ is self-reduced. The leading monomials of the generators $G_1, H_2, \dots, H_n, G_{n+1}$ have strictly decreasing subscripts, and hence never have x_1 as the first symbol or x_{n+1} as the last symbol; it follows that no further compositions with N are possible. Hence we now have a Gröbner basis for the ideal I . \square

5.3. **Case 3.** This is case (2b) of Theorem 2.1: $L^1 = Fe_1 \oplus Fe_2$ where

$$[e_2, \dots, e_{n+1}] = e_1 + \beta e_2 \quad (\beta \neq 0), \quad [e_1, e_3, \dots, e_{n+1}] = e_2.$$

The original ideal generators are

$$\begin{aligned} G_1 &= (-1)^{\lfloor n/2 \rfloor} (\text{alt}(x_2, \dots, x_{n+1}) - (x_1 + \beta x_2)), \\ G_2 &= (-1)^{\lfloor n/2 \rfloor} (\text{alt}(x_1, x_3, \dots, x_{n+1}) - x_2), \\ G_i &= (-1)^{\lfloor n/2 \rfloor} \text{alt}(x_1, \dots, \widehat{x}_i, \dots, x_{n+1}) \quad (3 \leq i \leq n+1). \end{aligned}$$

Lemma 5.5. *The composition $G_1x_1 - x_{n+1}G_{n+1}$ has normal form*

$$N = x_2x_1 - x_1x_2.$$

Proof. This follows directly from Theorem 3.8 since $\beta \neq 0$. \square

We must include N as a new generator and modify the original generators by replacing them by their normal forms modulo N .

Notation 5.6. We write $V_n^{(i)}$ ($i \neq 1, 2$) for the set of permutations of $\{1, \dots, \widehat{i}, \dots, n+1\}$ in which 1 and 2 do not appear consecutively. We consider the corresponding elements of $F\langle X \rangle$:

$$K_i = -(-1)^{\lfloor n/2 \rfloor} \sum_{\sigma \in V_n^{(i)}} \epsilon(\sigma) x_{\sigma(1)} x_{\sigma(2)} \cdots x_{\sigma(n)} \quad (3 \leq i \leq n+1).$$

The extra minus sign appears because $\text{LM}(K_i)$ differs by a transposition from $\text{LM}(G_i)$: the leading monomial of K_i is

$$x_{n+1} \cdots x_5 x_2 x_4 x_1 \quad (i = 3), \quad x_{n+1} \cdots \widehat{x}_i \cdots x_4 x_2 x_3 x_1 \quad (i \geq 4).$$

We write V_{n+1} for the subset of S_{n+1} in which 1 and 2 do not appear consecutively.

Theorem 5.7. *Let $n \geq 4$ be even and let F be any field. Let L be the $(n+1)$ -dimensional n -Lie algebra with structure constants*

$$[e_2, \dots, e_{n+1}] = e_1 + \beta e_2 \quad (\beta \neq 0), \quad [e_1, e_3, \dots, e_{n+1}] = e_2.$$

A Gröbner basis for the ideal $I = \langle G_1, \dots, G_{n+1} \rangle \subseteq F\langle X \rangle$ consists of the elements

$$\{ G_1, G_2, K_3, \dots, K_{n+1}, N \}.$$

Proof. We first use N to reduce the original generators G_1, \dots, G_{n+1} . Clearly G_1 and G_2 do not change, since G_1 (resp. G_2) does not contain x_1 (resp. x_2). The monomials in G_3, \dots, G_{n+1} of the form $\cdots x_2 x_1 \cdots$ reduce to $\cdots x_1 x_2 \cdots$; hence all the monomials containing $x_2 x_1$ and $x_1 x_2$ cancel, and G_3, \dots, G_{n+1} reduce to K_3, \dots, K_{n+1} . It is easy to check that $G_1, G_2, K_3, \dots, K_{n+1}, N$ have only one overlap among their leading monomials: $\text{LM}(G_1) = x_{n+1} \cdots x_2$, $\text{LM}(N) = x_2 x_1$. Hence there is a single new composition,

$$P = G_1 x_1 - x_{n+1} x_n \cdots x_3 N.$$

To complete the proof, it suffices to show that the normal form of P is 0.

Following the proof of Theorem 3.8, we first eliminate from P all occurrences of the leading monomials of $G_2, K_3, \dots, K_{n+1}, N$. This gives $P + Q$ where

$$Q = -G_2 x_2 + x_2 G_2 + \sum_{i=3}^{n+1} (-1)^{i+1} x_i K_i + (-1)^{n/2} \left[\beta N - \sum_{\substack{\tau \in S_{n+1} \\ \tau(n) = 2 \\ \tau(n+1) = 1}} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n-1)} N \right].$$

We next eliminate from $P + Q$ all occurrences of the leading monomials of $G_1, K_3, \dots, K_{n+1}, N$. This gives $P + Q + R$ where

$$R = -x_1 G_1 - \sum_{i=3}^{n+1} (-1)^{i+1} K_i x_i + (-1)^{n/2} \sum_{\substack{\tau \in S_{n+1} \\ \tau(1) = 2 \\ \tau(2) = 1}} \epsilon(\tau) N x_{\tau(3)} \cdots x_{\tau(n+1)}.$$

This shows that P reduces to $M = P + Q + R$. It remains to show that $M = 0$.

Combining the terms in P, Q, R we obtain $M = A + B + C$ where

$$A = \sum_{i=1}^2 (-1)^{i+1} (G_i x_i - x_i G_i), \quad B = \sum_{i=3}^{n+1} (-1)^{i+1} (x_i K_i - K_i x_i),$$

$$C = (-1)^{n/2} \left[\beta N + \sum_{\substack{\tau \in S_{n+1} \\ \tau(1)=2 \\ \tau(2)=1}} \epsilon(\tau) N x_{\tau(3)} \cdots x_{\tau(n+1)} - \sum_{\substack{\tau \in S_{n+1} \\ \tau(n)=2 \\ \tau(n+1)=1}} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n-1)} N \right].$$

We factor out $(-1)^{n/2}$ from the following calculation to simplify the signs.

Using the definitions of the ideal generators, we rewrite A as follows:

$$A = \sum_{i=1}^2 \left[\sum_{\substack{\tau \in S_{n+1} \\ \tau(n+1)=i}} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n)} x_i - \sum_{\substack{\tau \in S_{n+1} \\ \tau(1)=i}} \epsilon(\tau) x_i x_{\tau(2)} \cdots x_{\tau(n+1)} \right] - \beta(x_2 x_1 - x_1 x_2).$$

The signs $(-1)^{i+1}$ cancel using equations (1) and (2). We now separate the monomials which either begin or end with either $x_1 x_2$ or $x_2 x_1$:

$$\begin{aligned} A &= \sum_{i=1}^2 \left[\sum_{\substack{\tau \in V_{n+1} \\ \tau(n+1)=i}} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n)} x_i - \sum_{\substack{\tau \in V_{n+1} \\ \tau(1)=i}} \epsilon(\tau) x_i x_{\tau(2)} \cdots x_{\tau(n+1)} \right] \\ &+ \sum_{\substack{\tau \in S_{n+1} \\ \tau(n)=2 \\ \tau(n+1)=1}} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n-1)} (x_2 x_1 - x_1 x_2) \\ &- \sum_{\substack{\tau \in S_{n+1} \\ \tau(1)=2 \\ \tau(2)=1}} \epsilon(\tau) (x_2 x_1 - x_1 x_2) x_{\tau(3)} \cdots x_{\tau(n+1)} - \beta(x_2 x_1 - x_1 x_2). \end{aligned}$$

Similarly, we obtain

$$B = \sum_{i=3}^{n+1} \left[\sum_{\substack{\tau \in V_{n+1} \\ \tau(n+1)=i}} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n)} x_i - \sum_{\substack{\tau \in V_{n+1} \\ \tau(1)=i}} \epsilon(\tau) x_i x_{\tau(2)} \cdots x_{\tau(n+1)} \right],$$

using the same relation between $\epsilon(\sigma)$ and $\epsilon(\tau)$ as in equations (1) and (2).

Since $N = x_2 x_1 - x_1 x_2$ we obtain

$$\begin{aligned} C &= \sum_{\substack{\tau \in S_{n+1} \\ \tau(1)=2 \\ \tau(2)=1}} \epsilon(\tau) (x_2 x_1 - x_1 x_2) x_{\tau(3)} \cdots x_{\tau(n+1)} \\ &- \sum_{\substack{\tau \in S_{n+1} \\ \tau(n)=2 \\ \tau(n+1)=1}} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n-1)} (x_2 x_1 - x_1 x_2) + \beta(x_2 x_1 - x_1 x_2). \end{aligned}$$

Adding the last three expressions for A , B and C gives

$$M = \sum_{i=1}^{n+1} \sum_{\substack{\tau \in V_{n+1} \\ \tau(n+1)=i}} \epsilon(\tau) x_{\tau(1)} \cdots x_{\tau(n)} x_i - \sum_{i=1}^{n+1} \sum_{\substack{\tau \in V_{n+1} \\ \tau(1)=i}} \epsilon(\tau) x_i x_{\tau(2)} \cdots x_{\tau(n+1)}.$$

The argument at the end of the proof of Theorem 3.8 now shows that $M = 0$. \square

Corollary 5.8. *Let $n \geq 4$ be even and let L be any non-simple $(n+1)$ -dimensional n -Lie algebra over F . In cases (0), (1a), (2a) and (r) of Theorem 2.1, a basis*

of the universal associative envelope $U(L)$ consists of the monomials which do not contain any factor of the form

$$x_{i_1}x_{i_2}\cdots x_{i_n} \quad (i_1 > i_2 > \cdots > i_n).$$

In case (1b) of Theorem 2.1, a basis of the universal associative envelope $U(L)$ consists of the monomials which do not contain any factor of the form

$$x_{n+1}x_1 \quad \text{or} \quad x_{i_1}x_{i_2}\cdots x_{i_n} \quad (i_1 > i_2 > \cdots > i_n).$$

In case (2b) of Theorem 2.1, a basis of the universal associative envelope $U(L)$ consists of the monomials which do not contain any factor of the form

$$\begin{aligned} x_2x_1, & \quad x_{n+1}x_n\cdots x_2, & \quad x_{n+1}x_n\cdots x_3x_1, \\ x_{n+1}\cdots x_5x_2x_4x_1 & \quad \text{or} & \quad x_{n+1}\cdots \hat{x}_i\cdots x_4x_2x_3x_1 \quad (i \geq 4). \end{aligned}$$

Hence in every case $U(L)$ is infinite-dimensional.

Corollary 5.9. *Let $n \geq 4$ be even and let F be a field of characteristic $\neq 2$. For any non-simple $(n+1)$ -dimensional n -Lie algebra L the natural map $i: L \rightarrow U(L)$ is injective.*

6. COMPUTATIONAL RESULTS FOR n ODD

In this section we present computational results to illustrate the complexity of finding a Gröbner basis for the ideal $I = \langle G_1, \dots, G_{n+1} \rangle \subseteq F\langle x_1, \dots, x_{n+1} \rangle$ when n is odd. These computations were done with the computer algebra system **Maple**.

We consider the 4-dimensional simple 3-Lie algebra L_4 ; to clarify the notation in this special case, we write a, b, c, d in place of x_1, x_2, x_3, x_4 for the basis elements. The structure constants are then as follows:

$$[a, b, c] = d, \quad [a, b, d] = -c, \quad [a, c, d] = b, \quad [b, c, d] = -a.$$

The original set of ideal generators, which is already self-reduced, is as follows:

$$\begin{aligned} G_1 &= dcb - dbc - cdb + cbd + bdc - bcd - a, \\ G_2 &= dca - dac - cda + cad + adc - acd + b, \\ G_3 &= dba - dab - bda + bad + adb - abd - c, \\ G_4 &= cba - cab - bca + bac + acb - abc + d. \end{aligned}$$

Noncommutative polynomials will be made monic and their terms will be listed in reverse deglex order so that their leading monomials occur first; sets of polynomials will be listed in reverse deglex order of their leading monomials.

6.1. First iteration. Lemma 3.7 shows that there is only one composition among G_1, \dots, G_4 and Theorem 3.8 gives its normal form:

$$G_1a - dG_4 \xrightarrow{\text{nf}} N = d^2 + c^2 + b^2 + a^2.$$

Since $N \neq 0$, we must add N to the set of ideal generators and repeat the process. The new set of generators, which is already self-reduced, is $\{G_1, G_2, G_3, G_4, N\}$.

6.2. Second iteration. We obtain three new compositions and compute their normal forms:

$$Ncb - dG_1 \xrightarrow{\text{nf}} P_1 = dcdb - dbdc - cdbd + c^3b - c^2bc - cbc^2 - cb^3 - caba + bdcd \\ + bc^3 + bcb^2 + b^2cb - b^3c + baca + acab - abac + 2da - 2ad,$$

$$Nca - dG_2 \xrightarrow{\text{nf}} P_2 = dcda - dadc - cdad + c^3a - c^2ac - cac^2 - cab^2 - ca^3 + b^2ca \\ - b^2ac + adcd + ac^3 + acb^2 + aca^2 + a^2ca - a^3c - db + bd,$$

$$Ncb - dG_3 \xrightarrow{\text{nf}} P_3 = dbda - dadb + cbca - cacb - bdad - bcac + b^3a - b^2ab - bab^2 \\ - ba^3 + adbd + acbc + ab^3 + aba^2 + a^2ba - a^3b + 2dc - 2cd.$$

The new set of generators, which is already self-reduced, is

$$\{P_1, P_2, P_3, G_1, G_2, G_3, G_4, N\}.$$

6.3. Third iteration. We obtain five new compositions:

$$P_1da - dcP_3 \xrightarrow{\text{nf}} Q_1, \quad Ncdb - dP_1 \xrightarrow{\text{nf}} Q_2, \quad Ncda - dP_2 \xrightarrow{\text{nf}} Q_3, \\ Nbda - dP_3 \xrightarrow{\text{nf}} Q_4, \quad P_1a - dcG_3 \xrightarrow{\text{nf}} Q_5.$$

These compositions have 34, 20, 20, 20, 23 terms respectively; their normal forms have 178, 35, 33, 56, 6 terms respectively. The simplest new generator is

$$Q_5 = dc^2 + db^2 + da^2 - c^2d - b^2d - a^2d.$$

The leading monomials of the others are

$$\text{LM}(Q_1) = dc^2bca, \quad \text{LM}(Q_2) = dc^3b, \quad \text{LM}(Q_3) = dc^3a, \quad \text{LM}(Q_4) = dbc^2a.$$

We add these new noncommutative polynomials to the set of generators and obtain

$$\{Q_1, Q_2, Q_3, Q_4, P_1, P_2, P_3, Q_5, G_1, G_2, G_3, G_4, N\}.$$

However, this set of the generators is not self-reduced: the leading monomials of some generators are factors of monomials occurring in other generators. After performing self-reduction, we find that Q_2 and Q_3 become 0, and Q_1 and Q_4 respectively become R_1 and R_2 with 187 and 58 terms and leading monomials dbc^3a and dbc^2a . The new self-reduced set of generators is

$$\{R_1, R_2, P_1, P_2, P_3, Q_5, G_1, G_2, G_3, G_4, N\}.$$

6.4. Fourth iteration. We obtain six new compositions:

$$P_1c^3a - dcR_1, \quad P_1c^2a - dcR_2, \quad Nbc^3a - dR_1, \quad Nbc^2a - dR_2, \quad Nc^2 - dQ_5.$$

These compositions have 203, 74, 189, 60, 8 terms respectively. This suggests that the algorithm may not terminate and that the Gröbner basis obtained by this process from the original set of ideal generators may in fact be infinite.

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